

# WENDY A. BRITTON

## ADV PART 2B

This brochure provides supplemental information about Wendy Britton (CRD# 285147). This supplements the Adair Wealth Management ADV Part 2A brochure, which should have also been provided to you. Please contact us at (206) 607-9281 or by email at [wendy.britton@adairwealth.com](mailto:wendy.britton@adairwealth.com) if you have any questions or wish to request a copy of the ADV Part 2A brochure.

Additional information about Adair Wealth Management is also available at the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (select "investment adviser firm" and type in our firm name). Results will provide you both Part 1 and 2 of our Form ADV.

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ITEM 1 – COVER PAGE BROCHURE ADV PART 2B

MARCH 15, 2022

INDIVIDUAL CRD# 4281519  
12819 SE 38<sup>th</sup> Street #485, BELLEVUE, WA 98006  
[WENDY.BRITTON@ADAIRWEALTH.COM](mailto:WENDY.BRITTON@ADAIRWEALTH.COM)  
(206) 607-9281  
[WWW.ADAIRWEALTH.COM](http://WWW.ADAIRWEALTH.COM)

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## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Wendy A. Britton, CFP®

Year Born: 1965

#### Educational Background:

B. S., General Science – University of Oregon (1989)

#### Business Background:

10/2016 – Present: *Managing Member/CCO/Portfolio Manager*, Adair Wealth Management LLC dba Adair Wealth Management

07/2016 – 11/2016: *Investment Advisor Representative*, NWAM, LLC dba Northwest Asset Management

02/2005 – 06/2016: *Dual Employee*, Charles Schwab Bank

09/2000 – 06/2016: *V.P./Financial Consultant*, Charles Schwab & Co., Inc.

#### Professional Designation:

CERTIFIED FINANCIAL PLANNER™ (CFP®)

#### Explanation of Designation:

CFP®: (CERTIFIED FINANCIAL PLANNER™)

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold a CFP® certification.

To attain the right to use the CFP® designation, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and as of January 2007, attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination which includes case studies and Client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks. This requires 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and renewal of an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **ITEM 3 - DISCIPLINARY INFORMATION**

Wendy Britton has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

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### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

The principal business of Wendy A. Britton is that of an investment advisor representative and provider of financial planning services. Ms. Britton is a Real Estate Broker in the State of Washington operating under the name of WB & Associates, LLC helping buyers and sellers with their real estate needs primarily on weekends and evenings. She spends approximately 15 hours a month, 4 hours during security hours. This is approximately 5% of Wendy's income.

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### **ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with Adair Wealth Management and any disclosures made in Items 2 and 4 above, Wendy A. Britton receives no additional compensation related to outside business activities.

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### **ITEM 6 - SUPERVISION**

Wendy A. Britton is the sole managing member of Adair Wealth Management and is the supervising authority. Wendy A. Britton remains aware of and keeps us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. Adair Wealth Management maintains a written compliance manual that is reviewed with employees when they are hired as well as annually. Adair Wealth Management has established internal policies for the guidance of its trading personnel. Transactions, which vary from the guidelines, are subject to periodic supervisory review. These guidelines are reviewed yearly and periodically adjusted.

Wendy A. Britton is located at 3854 139<sup>th</sup> Ave SE, Bellevue, WA 98006 and can be reached by calling (206) 607-9281 or emailing [wendy.britton@adairwealth.com](mailto:wendy.britton@adairwealth.com).

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### **ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Other than any disclosures made in Item 3 above, Wendy A. Britton has not been found liable in any additional material arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Wendy A. Britton has never been the subject of a bankruptcy petition.